

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DAT	re	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/645,970	08/22/200	3	Morteza Naghavi	D8562-16 8386	
25397	7590 05/	19/2006		EXAM	INER
•	ORRIS, LLP		HORWAT, JENNIFER A		
3200 SOUTI SUITE 3150	IWEST FREEWA	AY		ART UNIT	PAPER NUMBER
HOUSTON,	TX 77027	3768			
				DATE MAILED: 05/19/2006	6

Please find below and/or attached an Office communication concerning this application or proceeding.

- · · · · · · · · · · · · · · · · · · ·	Application No.	Applicant(s)	
	10/645,970	NAGHAVI ET AL.	
Office Action Summary	Examiner	Art Unit	
	Jennifer Horwat	3768	
The MAILING DATE of this communication a Period for Reply	appears on the cover sheet w	ith the correspondence address	
A SHORTENED STATUTORY PERIOD FOR REF WHICHEVER IS LONGER, FROM THE MAILING  - Extensions of time may be available under the provisions of 37 CFR after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory peri  - Failure to reply within the set or extended period for reply will, by stated any reply received by the Office later than three months after the material patent term adjustment. See 37 CFR 1.704(b).	DATE OF THIS COMMUNI 1.136(a). In no event, however, may a od will apply and will expire SIX (6) MO tute, cause the application to become A	CATION. reply be timely filed NTHS from the mailing date of this communication. BANDONED (35 U.S.C. § 133).	
Status			
1) Responsive to communication(s) filed on 22	August 2003.	, in the second of the second	
2a) ☐ This action is <b>FINAL</b> . 2b) ☑ T	his action is non-final.		
3) Since this application is in condition for allow	·	•	
closed in accordance with the practice unde	r Ex parte Quayle, 1935 C.I	D. 11, 453 O.G. 213.	
Disposition of Claims			
4) ☐ Claim(s) 1-34 is/are pending in the application 4a) Of the above claim(s) is/are withd 5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) 1-34 is/are rejected. 7) ☐ Claim(s) is/are objected to. 8) ☐ Claim(s) are subject to restriction and	rawn from consideration.		
Application Papers		•	
9) ☐ The specification is objected to by the Examination The drawing(s) filed on 22 August 2003 is/ar Applicant may not request that any objection to the Replacement drawing sheet(s) including the correction The oath or declaration is objected to by the	e: a)⊠ accepted or b)⊡ o he drawing(s) be held in abeya ection is required if the drawing	nce. See 37 CFR 1.85(a). i(s) is objected to. See 37 CFR 1.121(d).	
Priority under 35 U.S.C. § 119			
12) Acknowledgment is made of a claim for forei  a) All b) Some * c) None of:  1. Certified copies of the priority docume  2. Certified copies of the priority docume  3. Copies of the certified copies of the papplication from the International Bure  * See the attached detailed Office action for a least open companies.	ents have been received. ents have been received in a riority documents have been eau (PCT Rule 17.2(a)).	Application No  received in this National Stage	
Attachment(s)	<b>4)</b> □ I=I==::=	Summany (PTO 413)	
<ol> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>Information Disclosure Statement(s) (PTO-1449 or PTO/SB/Paper No(s)/Mail Date 9/24/03 5/07/04.</li> </ol>	Paper No	Summary (PTO-413) (s)/Mail Date Informal Patent Application (PTO-152) 	

Art Unit: 3768

#### **DETAILED ACTION**

Page 2

### Claim Objections

1. Claims 30, 32, and 33 are objected to under 37 CFR 1.75(c), as being of improper dependent form for failing to further limit the subject matter of a previous claim. Applicant is required to cancel the claim(s), or amend the claim(s) to place the claim(s) in proper dependent form, or rewrite the claim(s) in independent form. Claim 30 is dependent on claim 31, claim 32 is dependent on claim 33, and claim 33 is dependent on itself. For the purpose of examination, dependency was interpreted as follows: Claim 30 dependent on 29, Claim 32 dependent on 31, and Claim 33 dependent on 32.

## Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 2. Claims 1, 3-12, 14, 17, 19-26, and 31-34 are rejected under 35 U.S.C. 102(e) as being anticipated by latrou, et al (US 2004/0136491). latrou discloses a method and system for detecting components of plaque to determine the composition of plaque including areas of calcified plaques, which include a high concentration of calcium,

Art Unit: 3768

wherein calcified plaques have a density over 120 HU (paragraph 17). The composition of plague is believed to indicate the risk of acute coronary syndromes. Composition density distributions are calculated based on the CT data generated and may be calculated by a thresholding method that distinguishes pixels with a density greater than a chosen value (paragraph 37). CT data is obtained using any number of known CT systems, such as a third-generation CT system or a helical scan, also known as a spiral CT (paragraphs 19-21). A multi-slice detector array includes a plurality of parallel detector rows of detector elements (paragraph 25) such that two or more slices may be acquired simultaneously. Data is acquired and stored and used for reconstruction of images (paragraph 26). It is inherent in a CT system that the energy attenuation for each pixel in a scanned region is calculated. A variety of analyses are disclosed for manipulation of data obtained, including calculating total plague burden, which is a weighted sum of densities (paragraph 37). A mapping of the coronary artery may be done using the calcification data (paragraphs 34 and 35) so that the data obtained may be visualized. The system consists of a CT scanner (figure 1, element 10), a data acquisition module (element 32), an image reconstructor (element 34), a computer for analyzing the data to determine distribution of the calcification (element 36), and storage (element 38).

Page 3

# Claim Rejections - 35 USC § 103

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

**Art Unit: 3768** 

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Page 4

- 4. Claims 2 and 18 are rejected under 35 U.S.C. 103(a) as being unpatentable over latrou in view of Hainfeld, et al (US 6818199). latrou, as discussed above, substantially discloses the invention as claimed. While latrou discloses the use of a CT (EBCT) system, latrou fails to explicitly disclose the use of an electron beam computed tomography. Hainfeld discloses a method for enhanced medical imaging and further teaches that electron beam computed tomography uses a rapid x-ray scanner, which can freeze the heart beating motion, to visualize calcification in the coronary arteries without the use of dyes or cauterization (col 3, lines 10-14). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the disclosure of latrou in light of the teachings of Hainfeld to include an EBCT system in addition to the several CT systems disclosed by latrou, as Hainfeld teaches that an EBCT system is faster than traditional CT systems and provides improved visualization of a moving heart (col 3).
- 5. Claims 13, 27, and 28 are rejected under 35 U.S.C. 103(a) as being unpatentable over latrou in view of Li (US 6996262). Iatrou, as discussed above, substantially discloses the invention as claimed. While latrou discloses calculation of a statistic of the data, latrou fails to explicitly disclose the calculation of one of: mean, median, mode, standard deviation, range, coefficient of variation, skew, or kurtosis. Li also discloses a method and apparatus of scoring an arterial obstruction, such as a calcium plaque region, and further teaches statistical manipulation of the data, such as

Art Unit: 3768

calcification.

determination of the maximum CT number on an image slice and determining the mean CT number for the calcification region to determine an effective density (col 8, lines 13-40). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the disclosure of latrou in light of the teachings in the reference by Li to include calculation of a mean CT number so that the effective density may be obtained to provide additional information to the clinician regarding properties of the

Page 5

- 6. Claim 15 is rejected under 35 U.S.C. 103(a) as being unpatentable over latrou in view of O'Brien, et al (US 2004/0057955). latrou, as discussed above, substantially discloses the invention as claimed. While latrou discloses mapping the calcified plaque in a vessel to determine risk of cardiovascular disease, latrou fails to disclose determining a progression of plaque. O'Brien discloses a method for treating calcific aortic valve disease (paragraphs 3 and 4) including monitoring the calcification and analysis of the progression of plaque. O'Brien further discloses that statistical analyses were done on data obtained from scans wherein the progression of the plaque was observed to evaluate the relationship between progression of plaque and cardiovascular risk factors (paragraphs 85 and 86). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the disclosure of latrou in light of the teachings in the reference by O'Brien to include determination of progression of plaque to better characterize risk factors for cardiovascular disease.
- 7. Claim 16 is rejected under 35 U.S.C. 103(a) as being unpatentable over latrou and O'Brien as applied to claim 15 above, and further in view of Rather, et al (US

Art Unit: 3768

6385474). Iatrou in view of O'Brien, as discussed above, substantially disclose the invention as claimed. While latrou discloses categorizing areas in terms of plaque constitution, neither latrou nor O'Brien explicitly disclose categorizing an area of abrupt change in elasticity as a high-risk region. Rather also discloses a method and apparatus for detection and characterization of medical pathologies, such as calcifications, and further teaches studying density and elasticity of the tissue (paragraph 13) in which microcalcifications and tissue elasticity are identified (paragraph 25). Regions where there are abrupt changes are identified and each region is classified according to determined criterion (paragraph 87). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the disclosure of latrou in view of O'Brien in light of the teachings of Rather to include ascertaining regions of abrupt changes which assists in the identification of microcalcifications and tissue elasticity which signal pathology such as cancer or calcified plaque.

8. Claim 29 is rejected under 35 U.S.C. 103(a) as being unpatentable over latrou and Li as applied to claim 28 above, and further in view of O'Brien, et al (US 2004/0057955). latrou in view of Li, as discussed above, substantially discloses the invention as claimed. While latrou discloses mapping the calcified plaque in a vessel to determine risk of cardiovascular disease, latrou in view of Li fails to disclose determining a progression of plaque. O'Brien discloses a method for treating calcific aortic valve disease (paragraphs 3 and 4) including monitoring the calcification and analysis of the progression of plaque. O'Brien further discloses that statistical analyses

Art Unit: 3768

were done on data obtained from scans wherein the progression of the plaque was observed to evaluate the relationship between progression of plaque and cardiovascular risk factors (paragraphs 85 and 86). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the disclosure of latrou in view of Li in light of the teachings in the reference by O'Brien to include determination of progression of plaque to better characterize risk factors for cardiovascular disease.

9. Claim 30 is rejected under 35 U.S.C. 103(a) as being unpatentable over latrou and Li in view of O'Brien as applied to claim 29 above, and further in view of Rather, et al (US 6385474). latrou in view of Li and O'Brien, as discussed above, substantially disclose the invention as claimed. While latrou discloses categorizing areas in terms of plaque constitution, latrou, Li, and O'Brien fail to explicitly disclose categorizing an area of abrupt change in elasticity as a high-risk region. Rather also discloses a method and apparatus for detection and characterization of medical pathologies, such as calcifications, and further teaches studying density and elasticity of the tissue (paragraph 13) in which microcalcifications and tissue elasticity are identified (paragraph 25). Regions where there are abrupt changes are identified and each region is classified according to determined criterion (paragraph 87). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the disclosure of latrou in view of Li and O'Brien in light of the teachings of Rather to include ascertaining regions of abrupt changes which assists in the identification of microcalcifications and tissue elasticity which signal pathology such as cancer or calcified plaque.

Application/Control Number: 10/645,970 Page 8

Art Unit: 3768

#### Conclusion

10. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jennifer Horwat whose telephone number is (571) 272-2811. The examiner can normally be reached on M-Th 7-5:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Eleni Mantis-Mercader can be reached on (571) 272-4740. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

jah 5/9/06

PRIMARY EXAMINER